

PROSPECTUS



Ph.D. Programme, 2007-2008



Vision

To become a Centre of Excellence in educational planning and administration and prepare competent professionals to meet the needs of educational development in the national and global contexts

Mission

To undertake advanced level teaching and research in educational planning and administration and act as a pioneering, innovative and pace-setting institution through developing specialised curricula and integrated programmes to meet the local, regional, national and international aspirations

Message from the Vice-Chancellor

From a UNESCO Regional Centre founded in 1962, we have grown into a National University of Educational Planning and Administration (NUEPA) with a mission to advance knowledge on the strength of blending research, innovation, teaching and extension. The National University, which aims at producing talented professionals in education needed by the modern world, is a story of institutional transformation in terms of its character, roles and functions. The National University is proud of its ties for over 40 years with various national and international organizations including UNESCO, UNICEF, UNDP, International Institute for Educational Planning (IIEP), World Bank, European Union, etc. While continuing with our on-going commitment of professionally supporting Central and State governments on matters of education and capacity building, we now offer Ph.D. programme in educational policy, planning, finance and administration from a broad inter-disciplinary social science perspective covering all levels of education.

We are privileged to have a team of committed inter-disciplinary faculty to provide an outstanding learning environment to nurture academic excellence. Ensuring quality is the goal of the National University in all aspects of its academic programmes and activities. We will make sincere efforts to provide professionally exciting ambience to enable our students to bring out the best in them. We see a bright future for all those who may join us in our endeavour to enrich through research the field of planning and management of education in the country.

We look forward to students committed to contribute to the culture of excellence at the National University. It is, indeed, a pleasure for us to welcome you to be a part of our Ph.D. programme.



(Ved Prakash)

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1. About NUEPA

The National University of Educational Planning and Administration (NUEPA), established by the Ministry of Human Resource Development, Government of India, is a premier organisation dealing with capacity building and research in planning and administration of education, not only in India but also in South Asia. In recognition of the pioneering work done by the organisation in the field of educational planning and administration, the Government of India has empowered it to award its own degrees by way of conferring it the status of Deemed to be University in August, 2006. Like any Central University, NUEPA is fully maintained by the Government of India.

The National University has its origin dating back to 1962, when UNESCO established the Asian Regional Centre for Educational Planners, Administrators and Supervisors which later became the Asian Institute of Educational Planning and Administration (AIEPA) in 1965. In 1973, the Institute was taken over by the Government of India and merged in the National Staff College for Educational Planners and Administrators as its Asian Programme Division. Subsequently, with the increased roles and functions of the National Staff College, particularly in capacity building, research and professional support services to governments, it was again renamed as the National Institute of Educational Planning and Administration (NIEPA) in 1979.

The National University comprises nine departments, which are: (i) Department of Educational Planning; (ii) Department of Educational Administration; (iii) Department of Educational Policy; (iv) Department of Educational Finance; (v) Department of School and Non-formal Education; (vi) Department of Higher Education; (vii) Department of International Cooperation; (viii) Department of Sub-national Systems; and (ix) Department of Operations Research and Systems Management. It has an outstanding multi-disciplinary faculty and a library which is well stocked with a large collection of books, national and international journals and official documents in the area of educational planning and administration.

Glimpses from



Participants of the second course with Dr. S. Radhakrishnan



Hon'ble Ambassador of USA, Mr. Chester Bowles delivering a lecture to the participants



Participants of second course (Dec. 1962 to March 1963)



Vice President, Dr. B. D. Jatti (front row, centre) with participants and faculty of National Staff College



Prof. S. Nurul Hasan inaugurating the training course



His Highness Prince Prem Purachatra, Thai Ambassador presiding over UNESCO Silver Jubilee function at AIEPA

the past



Shri AV Pai, institute's first Director with Vice President, Dr. Zakir Hussain



Director General of UNESCO, Mr. Rene Maheu and Institute's first Director, Shri AV Pai addressing the fifth course



Prof. S. Nurul Hasan (right) with Prof. Moonis Raza (left), former Director, NIEPA at the Institute



President, Dr. Fakhruddin Ali Ahmad (fourth from left) with participants of three-month training course for North-Eastern States, 1976



President, Dr. V. V. Giri with Prof. M. V. Mathur, former Director NIEPA at Rashtrapati Bhawan



Dr. Triguna Sen (third from left), Union Education Minister, inaugurating the ninth course



Prof. M.V. Mathur and Prof. Nurul Hasan welcoming Prime Minister, Smt. Indira Gandhi at AIEPA



Education Minister, Dr. Karan Singh at All India Conference of Districts Education Officers (DEOs), 1976



Prime Minister, Smt. Indira Gandhi addressing the All India Conference of DEOs, 1976



Union Minister of Education, Dr. P. C. Chunder at a workshop on National Service Scheme, 1978



Education Minister, Dr. Karan Singh on a visit to NIEPA Library, 1979



Dr. K.R. Narayanan at an Orientation Programme on Educational Planning and Administration for College Principals



President, Shri Gyani Zail Singh, interacting with the staff of NIEPA



Prime Minister, Shri Rajiv Gandhi with the NIEPA staff and DEPA participants



Prime Minister, Shri Rajiv Gandhi at National Seminar on Implementation of New NPE, 1986



Former Director, Prof. Satya Bhushan (left) with Shri L.P. Sahi, former Union Minister



NUEPA Vice Chancellor, Prof. Ved Prakash with the Astd. Director General of UNESCO, Paris and Director, UNESCO India Country Office



Hon'ble Union Minister for HRD, Shri Arjun Singh releasing District Report Cards of Elementary Education in India

2. Ph.D. Programme, 2007-08

In addition to its multifarious activities, the National University offers both full-time and part-time Ph.D. programmes in educational policy, planning, finance and administration from a broader inter-disciplinary social science perspective. The focus areas of research covers school education, higher education, inclusive education, constitutional rights and laws, management information system, etc. from both national and international development perspectives.

3. Eligibility and Admission

NUEPA will admit ten full-time and an equal number of part-time candidates under its Ph.D. programme, 2007-08. The National University will follow all mandatory provisions of the reservation policy of Government of India.

3.1. Eligibility Conditions for Full-Time Ph.D. Programme

- (i) A candidate seeking admission to the full-time Ph.D. programme shall have a minimum of 55% marks (50% for SC/ST candidates) or its equivalent grade in a Master's Degree in Education, Social Sciences, Commerce, Sciences, Management, Law and allied disciplines from a recognised university.
- (ii) Candidates who are yet to clear their final examination at the Master's level are also eligible to apply provided they pass the examination as per eligibility conditions already laid down.
- (iii) Candidates who have qualified in the National Eligibility Test (NET) and have been awarded Junior Research Fellowships by the UGC-CSIR, with the above-mentioned educational qualifications, are also eligible to apply for Ph.D. programme.



Foreign Trainees at the NUEPA Library

3.2. Eligibility Conditions for Part-Time Ph.D. Programme

- (i) In addition to 3.1(i) above, the part-time candidates should have at least two years of teaching/research experience in educational planning and/or administration.
- (ii) Government officials working in the field of educational planning and administration, with a minimum of 2 years of experience, are also eligible for admission to the part-time Ph.D. programme.

3.3. Selection and Registration

Registration for the full-time Ph.D. programme shall be made once in a year. An exception can be made provided there are compelling reasons for doing so. Every candidate shall apply for admission to the Ph.D. programme in the prescribed form. The form can either be downloaded from the National University's website, www.nuepa.org, or obtained through a request along with a self-addressed stamped (Rs.20.00) envelope, addressed to:

The Registrar
National University of Educational Planning and Administration
17-B, Sri Aurobindo Marg, New Delhi-110016, India

The duly filled in application along with three copies of a brief write-up on the proposed research topic (around 2500 words), which the candidate desires to pursue, should be sent to the Registrar by **15th December, 2006** for registration in January 2007. Should the NUEPA so decide, this date can be changed to **30th May, 2007** for registration in July 2007.

The candidates are advised to develop their research proposals on any one of the areas indicated in Section 2. Besides providing an idea regarding the area of research, the write-up will also be used by the University for initial short-listing of applications. The short listed candidates will be called for a test/interview/seminar/presentation.

A candidate interested in part-time Ph.D. programme, depending on his/her area of interest, may contact any of the faculty members of NUEPA at any time during the year to seek help to develop the Ph.D. synopsis and submit it through the concerned faculty to the Registrar of the National University for registration. However, the part-time candidates shall undergo the same procedure for registration as laid down for the full-time Ph.D. candidates.

4. Programme Duration

A candidate enrolled in the Ph.D. programme shall be required to submit his/her thesis not earlier than two years but not later than four years from the date of confirmation of registration. The maximum duration of the Ph.D. programme is ten semesters or 5 years. The Board of Studies, in exceptional cases, may grant an extension of a period of one year on the recommendation of the supervisors). However, for the part-time candidates, the maximum time allowed for submission of Ph.D. thesis will be 7 years from the date of registration.

5. Management

The research studies completed under the programme are expected to make significant contribution in enriching knowledge base, besides providing critical inputs for policy formulation, implementation of reform programmes and capacity building activities. The intention is to make it possible for different types of students to get involved in research in the area of educational planning and administration. It would be open to NUEPA to assign any student to undergo practical training in an appropriate organisation for a period of four to six weeks. The expenses incurred in this connection would be met by NUEPA.

Given the basic objective of the programme, the selected candidate shall have to work under the supervision of one of the NUEPA faculty. However, in special cases, the candidate may be allowed to have a co-supervisor(s) from outside NUEPA from any recognized University/Institution, including people of eminence in the field of education.

In order to provide an effective research foundation, the Ph.D. candidates will be suitably oriented in research methodology and key aspects of educational planning and administration during the first three months of the first semester. Simultaneously, they will have to attend tutorials as decided by their respective supervisor(s), which will help them identify their Ph.D. topic, and subsequently, develop the synopsis. The candidate, in consultation with the supervisor(s), will have to identify and prepare the initial draft of the Ph.D. synopsis latest by the end of the first semester.

The candidate will be required to present the draft synopsis in the faculty seminar to be organised during the last week of the first semester. The basic purpose of this exercise is to have a specific feedback to improve the synopsis. The candidate will present the revised Ph.D. synopsis in a faculty



NUEPA Trainees at work in the Computer Lab

seminar to be organised during the third month of the second semester. The final version of the synopsis, duly approved by the supervisor(s) has to be submitted latest by the end of the second semester. This will be a necessary condition for confirming the registration of the full-time Ph.D. candidate.

Once the candidate has been confirmed as a full-time researcher, he/she shall have to work for a minimum period of two years to be eligible to submit his/her Ph.D. thesis.

For the part-time candidates, attendance of the three-month Pre-Ph.D. Orientation Course will be optional. All other conditions as applicable to full-time Ph.D. candidates shall equally apply to part-time candidates.

On completion of the Ph.D. programme, the candidate shall submit through his/her supervisor(s) five copies of the thesis not later than four years (for full-time candidates) and six years (for part-time candidates) from the date of confirmation of registration for the Ph.D. programme. Besides, the candidate shall also submit five copies of an abstract of the thesis in not more than 2000 words. He/she shall also be required to make a presentation of the research work in a seminar, at least three months prior to submission of the thesis.

6. Evaluation and Award of Degree

The thesis will be sent to two external examiners for evaluation. The examiners will be appointed by the Vice-Chancellor from a panel of experts suggested by the supervisor(s).

If both the external examiners recommend that the thesis be accepted for award of the degree, the candidate would be asked to defend the thesis in an open viva-voce to which the other members of the faculty can also attend, if they so desire. The viva-voce will be convened by the supervisor(s) and conducted by one of the external examiners who have evaluated the thesis. On the successful completion of the viva-voce, the candidate will be recommended for the award of the Ph.D. degree.

If one of the two external examiners recommends for revision of the thesis, the candidate shall have to do so and resubmit it not earlier than 6 months. In case, the thesis is resubmitted after revision, it should be sent to the same examiner. If, for some reasons, the external examiner, who had recommended for revision of the thesis, is not available for evaluation of the revised thesis, it should be sent to the third external examiner, who shall be appointed by the Vice-Chancellor from within the approved panel. If one of the external examiners rejects the thesis, the matter shall be placed before the Board of Studies, whose decision will be final and binding for the candidate.

7. Fees and Fellowships

7.1. Payment of Fees

The candidate admitted to the Ph.D. programme shall be required to pay the following fees:

- ◆ Admission fee at the time of registration;
- ◆ Caution money at the time of registration;
- ◆ Course fee at the time of registration;
- ◆ Library fee at the time of registration; and
- ◆ Examination fee at the time of submitting the thesis.

Each Ph.D. student shall pay the course fee in two installments at the beginning of each academic semester, i.e. in January and July. Any student, who fails to pay an installment of the course fee by the prescribed date, shall be removed from the Ph.D. rolls. He/she may, however, be re-admitted on payment of the prescribed fee along with the late payment charges.

7.2. Fee Structure

The following will be the structure and frequency of payment of the fees and other charges for the Ph.D. programme:

Fee	Amount (In Rs.)	Remarks
A. Fees		
Admission Fee	3,000.00	Payable at the time of admission
Course Fee (Annual)	3,000.00	Payable in two installments
Thesis Submission	1,000.00	Payable three months before the submission
Thesis re-submission	500.00	Payable at the time of re-submission
Degree/Certificate replacement	500.00	Payable along with the application for issuing a duplicate certificate
B. Refundable Deposits		
Library	1000.00	Payable in the beginning at the time of registration
Computer Centre	500.00	Payable in the beginning at the time of registration
Caution Money	1000.00	Payable in the beginning at the time of registration

Note: The course fee includes Tuition and Identity Card fees.

7.3. Fellowships

The National University will award up to ten fellowships for the full-time Ph.D. programme. These fellowships will be paid directly by the National University as per the UGC norms @ Rs. 8,000/- per month for the first two years from the date of admission with a contingency grant of Rs. 10,000/- per annum. For the remaining period and for a maximum of three years or the submission of the thesis whichever is earlier, an amount of Rs. 9,000/- per month and a contingency grant of Rs. 20,000/- per annum will be given. NET qualified candidates with fellowships awarded by the UGC-CSIR will draw the same from those organisations as per the norms and procedures laid down by them.

However, the continuation of the fellowship shall be subject to satisfactory progress report of the candidate duly approved by the supervisor(s) in each semester.

8. Course Outline for the First Semester

8.1. Papers (10 Credits)

Three-month Pre-Ph.D. Orientation Course work (i.e. 13 weeks) will have the following four papers to be covered in sessions mentioned against each paper. There will be eight lecture-discussion sessions per week.

Paper	Title	Sessions (One and half hrs each)	Credits
1.	Research Methodology and Statistics	40	4.0
2.	Educational Policy and Planning	20	2.0
3.	Educational Administration	20	2.0
4.	Education and Development	20	2.0

8.2. Evaluation of the Pre-Ph.D. Orientation Course



National Seminar on Privatization and Commercialization of Higher Education

Ph.D. students shall be evaluated twice in the first semester; once, at the end of the three-month orientation course work, and then, at the time of presentation of the draft Ph.D. synopsis in the faculty seminar to be organised towards the end of the first semester. Assignments will be given to each student in the form of term papers, which will be evaluated for award of credits. Each term paper shall be of not more than 5000 words.

9. General Conditions

- (i) A candidate registered for full-time Ph.D. programme of the National University shall not be allowed to pursue any other course leading to a degree or diploma. Such concurrent activity, if brought to notice, shall entail de-registration of the candidate from the Ph.D. programme.
- (ii) Candidates shall not take up any temporary or permanent employment during the entire duration of the Ph.D. programme.
- (iii) Candidates who are already in employment shall have to submit 'No Objection Certificate' from their respective employers while applying for either of the two, i.e. full-time or part-time Ph.D. programme.
- (iv) Candidates shall have to observe the condition of residency during the Ph.D. programme. However, in connection with the research work/ field work, they may be allowed to go out of station with the prior permission of the supervisor(s).
- (v) Candidates shall have to clear all dues before submission of the thesis.

10. NUEPA Campus & Student Support Services

NUEPA is located at 17-B, Sri Aurobindo Marg, New Delhi-110016. The University campus houses the office building, hostel and staff quarters. The hostel of the National University has 60 fully furnished rooms with attached bath. The hostel is generally meant for providing accommodation to the guests of the National University as well as to the participants of training programmes, workshops and seminars, etc. organised by the University.

The University has a well established library and documentation center, publication unit, computer center, electronic data processing unit and a cartography cell. Candidates admitted to the Ph.D. programme shall have access to all such facilities in connection with their Ph.D. work.



NUEPA's publications on display

11. Faculty & Staff

Prof. Ved Prakash

Vice-Chancellor

Ph.D. (Education)

Area of Specialisation:

Educational Evaluation and Administration

Prof. Marmar Mukhopadhyay

Professor

Ph.D. (Educational Management)

Area of Specialisation:

Educational Management and Distance Education

Prof. R. Govinda

Professor and Head,

Department of School and Non-Formal Education

Ph.D. (Education)

Area of Specialisation: Policy

Analysis, Decentralised Management, Basic Education, and Educational Evaluation

Prof. Jandhyala B.G. Tilak

Professor and Head,

Department of Educational Finance

Ph.D. (Economics)

Area of Specialisation:

Educational Planning and Educational Finance

Prof. (Ms) K. Sujatha

Professor

Ph.D. (Educational Anthropology)

Area of Specialisation:

Educational Policy Analysis & Comparative Education

Prof. (Ms) K. Sudha Rao

Professor

Ph.D. (Education)

Area of Specialisation: Higher Education and Vocationalisation of Education

Prof. (Ms) Sudesh Mukhopadhyay

Professor and Head,

Department of Educational Policy and In-charge

Department of International Cooperation

Ph.D. (Education)

Area of Specialisation: Special Education, Teacher Education and School Quality

Prof. (Ms) Najma Akhtar

Professor and Head, Department of Educational Administration

Ph.D. (Education)

Area of Specialisation:

Educational Administration, Minority Education & Distance Education

Prof. Sudhanshu Bhushan

Professor and Head,

Department of Higher Education

Ph.D. (Economics)

Area of Specialisation: Higher Education and Educational Planning

Prof. Arun C. Mehta

Professor and Head,

Department of Operations Research and Systems Management

Ph.D. (Demographic Projections)

Area of Specialisation: EMIS & Projection Techniques

Prof. (Ms) Nalini Juneja

Professor

Ph.D. (Education)

Area of Specialisation: Constitutional Rights and Education

Prof. S.M.I.A. Zaidi

Professor and Head,

Department of Educational Planning

Ph.D. (Sociology)

Area of Specialisation: Decentralised Planning and Appraisal

Dr. (Ms) Pramila Menon

Associate Professor & In-charge

Department of

Sub-National Systems

Ph.D. (Education)

Area of Specialisation:

Decentralised Planning and

Community Participation

Dr. (Ms) Neelam Sood

Associate Professor

Ph.D. (Psychology)

Area of Specialisation: Early

Childhood Care and Education

Dr. (Ms) Yazali Josephine

Associate Professor

Ph.D. (Economics of Education)

Area of Specialisation:

Financing of Education

Dr. (Ms) Veera Gupta

Associate Professor

Ph.D. (Education)

Area of Specialisation:

Vocationalisation of Education

& Teacher Education

Dr. (Ms) Vineeta Sirohi

Associate Professor

Ph.D. (Psychology)

Area of Specialisation:

Organisational Behaviour &

Personnel Management

Dr. B. K. Panda

Assistant Professor

Ph.D. (Educational

Anthropology)

Area of Specialisation:

Education of the

Disadvantaged

Dr. (Ms) Rashmi Diwan

Assistant Professor

Ph.D. (Education)

Area of Specialisation:

Educational Management

Dr. K. Biswal

Assistant Professor

Ph.D. (Economics of

Education)

Area of Specialisation:

Decentralised Planning,

Project Planning and School

Mapping

Dr. R. S. Tyagi

Assistant Professor

Ph.D. (Economics)

Area of Specialisation:

Educational Administration

and Management

Dr. (Ms) P. Geetha Rani

Assistant Professor

Ph.D. (Economics)

Area of Specialisation:

Financing of Education

Dr. (Ms) Jayashree Roy

Assistant Professor

Ph.D. (Education)

Area of Specialisation: School

Education

Dr. (Ms) Neeru Snehi

Assistant Professor

Ph.D. (Education)

Area of Specialisation: Higher

Education

Dr. (Ms) Madhumita Bandyopadhyay

Assistant Professor

Ph.D. (Geography)

Area of Specialisation:

Education of the Deprived

Child Rights and Women's

Education

Dr. R. K. Murthy

Assistant Professor

Ph.D. (Psychology)

Area of Specialisation:

Organisational Behaviour

Dr. V. P. S. Raju

Assistant Professor

Ph.D. (Education)

Area of Specialisation: Comparative

Education and Education for

Disadvantaged

Dr. (Ms) Manju Narula

Research and Training Associate

Ph.D. (Education)

Area of Specialisation:

Organisational Management

Dr. (Ms) Sunita Chugh

Research and Training Associate

Ph.D. (Education)

Area of Specialisation: Education
of the Disadvantaged

Dr. S.K. Mallik

Research and Training Associate

Ph.D. (Sociology)

Area of Specialisation: School
Education

Dr. (Ms) Kausar Wizarat

Research and Training Associate

Ph.D. (Chemistry)

Area of Specialisation: Women's
Education

Shri A.N. Reddy

Research and Training Associate

Area of Specialisation: Financing
of Education

Dr. N.K. Mohanty

Research and Training Associate

Ph.D. (Economics)

Area of Specialisation:

Decentralised & Manpower
Planning

Shri P.R.R. Nair

Registrar

Computer Centre

Shri K. Srinivas

Systems Analyst

Shri Naveen Bhatia

Computer Programmer

Library & Documentation Centre

Smt. Deepak Makol

Librarian

Dr. D.S.Thakur

Documentation Officer

Publication Unit

Shri Pramod Rawat

Deputy Publication Officer

Hindi Cell

Dr. Subhash Sharma

Hindi Editor

Training & Cartography Cell

Shri P. N. Tyagi

*Cartographer (Computer Appl.)
and In-charge, Training*

Finance & Accounts

Shri J. K. Tripathi

Finance Officer

Smt. Usha Sharma

Section Officer

Shri Jayanand

Accountant

Administration

Shri A. K. Khanduri

Administrative Officer

Shri S. R. Chaudhary

Section Officer

(Academic Administration)

Shri P. Mani

Section Officer

(General Administration)

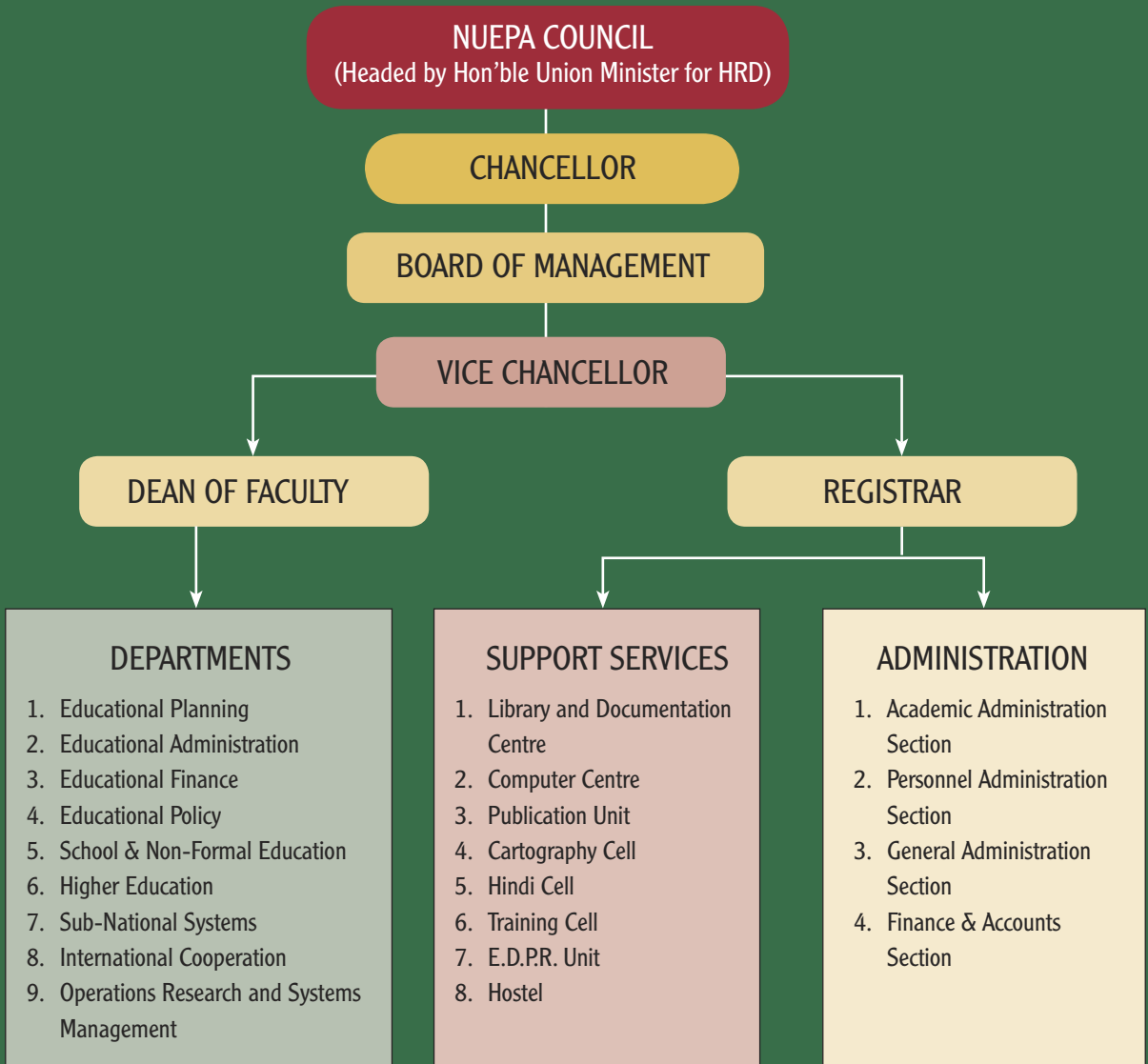
Ms. Ujal Bhattacharya

Section Officer

(Personnel Administration)

ORGANISATIONAL SET-UP

NATIONAL UNIVERSITY OF EDUCATIONAL PLANNING AND ADMINISTRATION



NUEPA's Journals

**Journal of
Educational
Planning and
Administration**



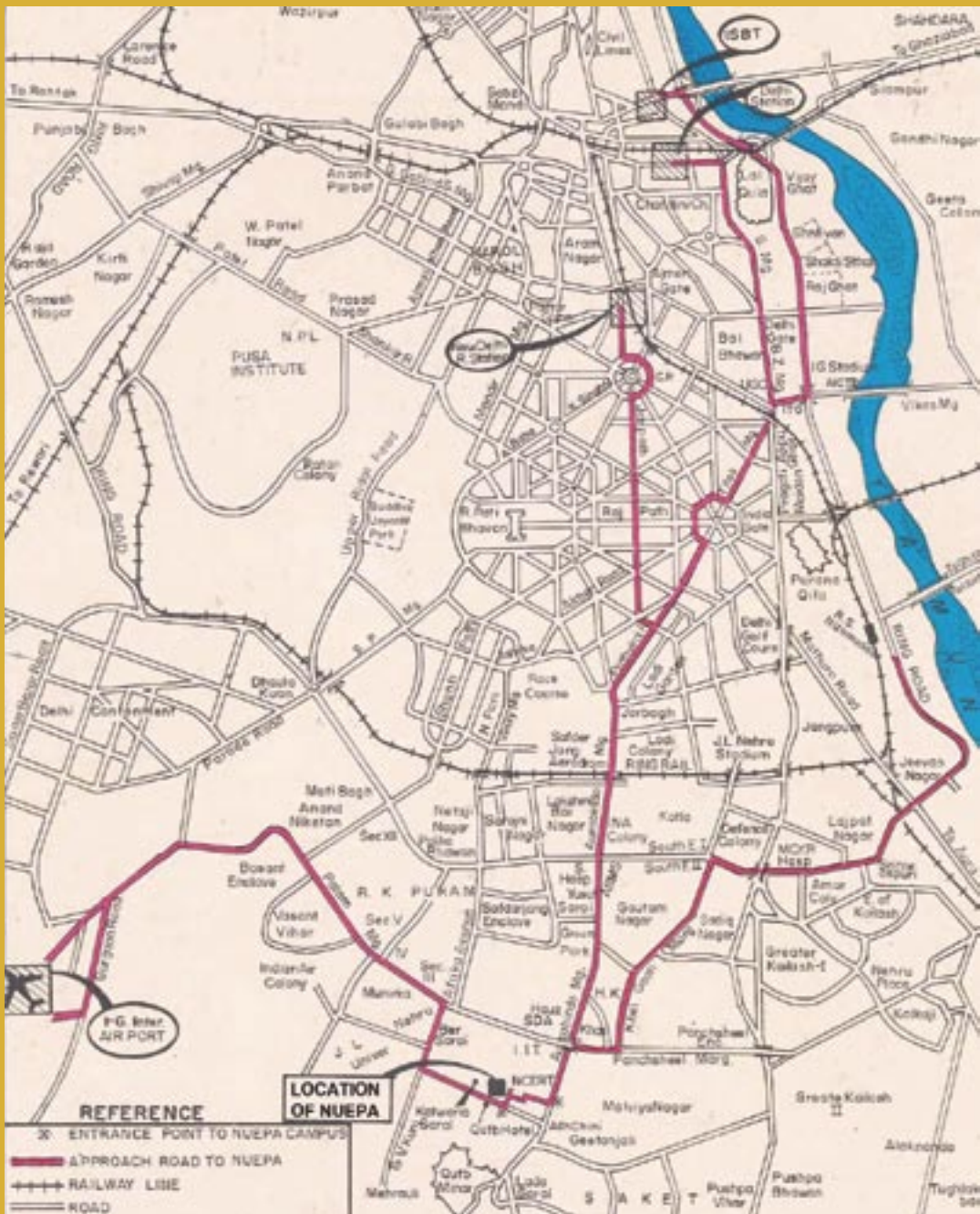
**Pariprekshya
a Hindi Journal
on socio-
economic context
of Educational
Planning and
Administration**



**ANTRIEP
a bi-annual
newsletter**



Location Map of NUEPA





National University of Educational Planning and Administration

(Declared by the GoI under Section 3 of the UGC Act, 1956)

17-B, Sri Aurobindo Marg, New Delhi – 110 016, INDIA
EPABX: 91-11-26960428, 26962120, 26962126, 26967780, 26967784
Fax: 91-11-26853041, 26865180
E-mail: nuepa@nuepa.org
Website: www.nuepa.org